

1007 Rec'd PCT/PTO 17 JAN 2006



FORM PTO-1390

U.S. Department of Commerce Patent and Trademark Office

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

Attorney's Docket No.

2918-111

U.S. Application No. (if known)

New Application

**10/564788**

INTERNATIONAL APPLICATION NO.  
PCT/EP2004/008057

INTERNATIONAL FILING DATE  
July 19, 2004

PRIORITY DATE CLAIMED  
July 17, 2003

TITLE OF INVENTION  
C5a RECEPTOR ANTAGONISTS

APPLICANT(S) FOR DO/EO/US  
Gerd HUMMEL, Elsa LOCARDI, Thomas Polakowski, Dirk SCHARN, Karsten SCHNATBAUM

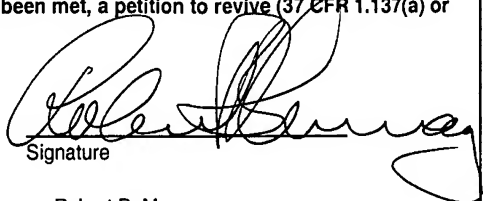
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**ITEMS 11. TO 20. below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A preliminary amendment.
14. ☒ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-1.825
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☒ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

<b>10/564788</b> U.S. APPLICATION NO. (If not a New Application)	INTERNATIONAL APPLICATION NO. PCT/EP2004/008057	ATTORNEY DOCKET NO. 2918-111
20. <input checked="" type="checkbox"/> Other items or information: [x] RO/101 [ ] RO/105 [x] ISA/210(ISR) [ ] IB/301 [ ] IB/304 [ ] IB/308 [x] IB 401 [x] IB/409(IPER) [ ] IB/416 [x] Notification Concerning Submission or Transmittal of Priority Document		
21. The following fees are submitted:  <input checked="" type="checkbox"/> Basic National Fee ..... \$300.00	<u>CALCULATIONS</u>  \$ 300	<u>PTO USE ONLY</u>
22. <input checked="" type="checkbox"/> Examination Fee  If the written opinion prepared by ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 All other situations ..... \$200.00	\$ 200	
23. <input checked="" type="checkbox"/> Search Fee  If the written opinion of the ISA/US or the IPER prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) ..... \$0 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Search Authority ..... \$100.00 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB ..... \$400.00 All other situations ..... \$500.00	\$ 400	
TOTAL OF 21, 22 AND 23 =		\$ 900
<input checked="" type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof.		\$
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof
152	- 100 = 52	/ 50 = 2
		x 250
		\$ 500
Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).		\$
Claims	Number Filed	Number Extra
Total Claims	61 - 20 =	41
Independent Claims	4 - 3 =	1
Multiple dependent claim(s) (if applicable)		+ \$360.00
TOTAL OF ABOVE CALCULATIONS =		\$ 3,650
<input type="checkbox"/> Applicant claims small entity status. The fees indicated above are reduced by 1/2.		\$
SUBTOTAL =		\$ 3,650
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).		+
TOTAL NATIONAL FEE =		\$ 3,650
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property		+
TOTAL FEES ENCLOSED =		\$ 3,650
		Amount to be refunded
		\$
		Amount to be charged
		\$

U.S. APPLICATION NO. (If known) New Application <b>10/1564788</b>	INTERNATIONAL APPLICATION NO. PCT/EP2004/008057	ATTORNEY DOCKET NO. 2918-111
<p>a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.</p> <p>b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 02-2135 in the amount of \$ <u>3,650</u> to cover the above fees. A duplicate copy of this sheet is enclosed.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-2135. A duplicate copy of this sheet is enclosed.</p> <p><b>NOTE:</b> Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO:</p> <p><b>Customer No. 06449</b></p> <p>Rothwell, Figg, Ernst &amp; Manbeck 1425 K St., N.W. Washington, D.C. 20005 Phone: 202/783-6040</p> <p> Signature <u>Robert B. Murray</u> Name <u>22,980</u> Registration Number</p>		